

July 16, 2019

## Haitong Securities Co. LTD

### ATTENTION: International Research, Sales, Trading and Operations Staff

**DR Name:** Haitong Securities  
**Symbol:** HAITY  
**CUSIP Number:** 405305103  
**Exchange:** OTC  
**Ratio(DR:ORD):** 1 : 10  
**Country Of Incorporation:** China

<u>Transaction Type</u>	<u>Close Date</u>	<u>Open Date</u>	<u>Close Reason</u>
Issuance	May 03, 2019	Jul 16, 2019	Corporate Action - Spin-off

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